

10/18/01

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Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-10277-3C US
	First Named Inventor or Application Identifier	Hock C. So, Sau C. Wong
	Title	Multi-Bit-Per-Cell Flash EEPROM Memory With Refresh
	Express Mail Label No	EL 896776988 US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small>	ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> <small>(Submit an original, and a duplicate for fee processing)</small> 2. Application: <input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the invention, Cross References to Related Applications, Background of the invention, Brief Summary of the invention, Brief Description of the Drawings, and Detailed Description (all totaling 19 pages) <input checked="" type="checkbox"/> Claim(s) 5 pages <input checked="" type="checkbox"/> Abstract of the Disclosure 1 page 3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets 4] 4. Oath or Declaration <input type="checkbox"/> unsigned [Total Pages 3] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of ___ pages of microfiche containing frames on each page in accompanying envelope 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input type="checkbox"/> Assignment Papers (cover sheet & documents) ___ pages 9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (combined when there is an ___ with Patent Declaration Assignee) above.) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> ___ Copies of IDS Statement (IDS) & <input checked="" type="checkbox"/> PTO-1449 Citations/References 12. <input type="checkbox"/> Preliminary Amendment ___ pages 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 14. Small Entity Status <input type="checkbox"/> Small Entity Statement Enclosed ___ pages <input type="checkbox"/> Statement filed in prior application; and status still proper and desired <input type="checkbox"/> Is no longer claimed. 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: <input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln. <input type="checkbox"/>	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment. <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional of prior application No. <u>09/680,797</u> Filed on <u>October 6, 2000</u> , entitled: <u>Multi-Bit-Per-Cell Flash EEPROM Memory With Refresh</u> , which is a Continuation of U.S. Application Serial No. <u>09/200,220</u> , filed on <u>November 25, 1998</u> , which is a Continuation-in-Part of U.S. Application Serial No. <u>08/924,909</u> , filed on <u>September 8, 1997</u> PRIOR APPLICATION INFORMATION Examiner D. Lam Group Art Unit 2818		
18. CORRESPONDENCE ADDRESS x Customer Number or Bar Code Label _____ or <input checked="" type="checkbox"/> Correspondence address below		
Name	Michael G. Cleveland	Reg. No. 46,030
Attorneys for Applicant	Skjerven Morrill MacPherson LLP	
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City	San Francisco	State CA Zip Code 94111
Country	United States	Telephone (415) 217-6000 Fax (415) 434-0646

09/680,797

19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
23	TOTAL CLAIMS (37 CFR 1.16(c))	-20	3	x \$18	= \$ 54.00
4	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	1	x \$84	= \$ 84.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))			+ \$280.00	=
				BASIC FEE (37 CFR 1.16(a))	= \$ 740.00
				Total of above Calculations	= \$ 878.00
				Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	=
				TOTAL	= \$ 878.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:


- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

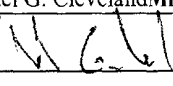
NOTE:

The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. **NEW CORRESPONDENCE ADDRESS**

X Customer Number or Bar Code Label		 27869 PATENT TRADEMARK OFFICE		<input type="checkbox"/> New correspondence address below	
NAME					
ADDRESS					
CITY	STATE	ZIP CODE			
COUNTRY	TELEPHONE	FAX			

23. **SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Skjerven Morrill MacPherson LLP Three Embarcadero Center, Suite 2800 San Francisco, CA 94111 Tel. (415) 217-6000 Fax. (415) 434-0646		
Date:	October 18, 2001	
Name	Michael G. Cleveland	Reg. No. 46,03046,030
Signature		
Express Mail Label No.	EL 896776988 US	